PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number.

IfW

OF		Application Number		10/789,78	3		
O P E TRANSMITTAL	Filing Date		February 2	27, 2004			
TORM	First Named Invento	or	William S.	Worely, Jr.			
MAY 5	Art Unit		2122				
all correspondence after	initial filing)	Examiner Name		Not yet kn	own		
Total Number of Pages in This Submiss		Attorney Docket Nu	mber	73153-302	2255		
	ENCLOSURES (check all that apply)						
Fee Transmittal Form	☐ Drawing(s			After Alle	owance Communication to TC		
Fee Attached	Licensing	-related Papers		— …	Communication to Board		
	Petition	·		_ ``	als and Interferences Communication to TC		
Amendment / Reply			:		Notice, Brief, Reply Brief)		
After Final	_	Convert to a al Application	!	Proprieta	ary Information		
Affidavits/declaration(s)		Attorney, Revocation of Correspondence Address	ess	Status L	etter		
Extension of Time Request	Extension of Time Request			Other E	inclosure(s) dentify below):		
Express Abandonment Request	Request f	for Refund			6B/08a-b (2 pages);		
Express Abandonment Request	CD, Numi	ber of CD(s)		pages); and	7 CFR 1.97(b)(3)- w/o Fee (2		
Information Disclosure Statement	☐ Lar			7 Article Ref	ferences.		
Certified Copy of Priority Document(s)							
Reply to Missing Parts/ Incomplete Application							
Reply to Missing Parts				e ar 🧿			
under 37 CFR1.52 or 1.53			, ji	<u>。</u> 			
SIG	NATURE OF	APPLICANT, ATTOR	NEY, OF	RAGENT			
Firm	5657						
Signature	MM	1 24					
Printed Name	Sanctis, Esq.						
Date	Date May 17, 2005 Reg. No. 39,957						
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the glate shown below.							
Signature							
Typed or printed name Claudia I	Mendoza			Date	May 17, 2005		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT

FAEGRE & BENSON LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ty. Docket No.:

73153-302255

In re Application	n of:	Examiner:	Not Yet Known
William S. Worely, Jr.		Art Unit:	2122
Serial No.: 1	0/789,783	Confirmation No.:	9436
Filed: F	ebruary 27, 2004)		
For: OPERATING SYSTEM CAPABLE OF SUPPORTING A CUSTOMIZED EXECUTION ENVIRONMENT		I HEREBY CERTIFY THAT transmitted to the USPTO or deposit with sufficient postage via first	this correspondence is being facsimile osited with the United States Postal Service class mail in an envelope addressed to: Box 1450, Alexandria, VA 22313-1450 on:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

<u>INFORMATION DISCLOSURE STATEMENT</u> UNDER 37 C.F.R. 1.97(B)(3) – W/O FEE AND 1.98

Dear Sir:

Applicant hereby submits this Information Disclosure Statement which is being filed before the mailing date of a first Office Action on the merits.

Examiner is requested to consider the references noted on the enclosed Form PTO/SB/08a-b during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. In accordance with 37 C.F.R. § 1.98(a)(2)(i), copies of the U.S. references are not provided herewith.

Atty. Docket No.: 73153-302255

Serial No.:

10/789,783

INVITATION FOR A TELEPHONE INTERVIEW

If the Examiner has any questions concerning the relevance of any reference cited in this disclosure, the Examiner is respectfully requested to call the undersigned at (303) 607-3633 if there remains any issue with allowance of the case.

CHARGE OUR DEPOSIT ACCOUNT

Pursuant to 37 C.F.R. § 1.97(b)(3), no fees are due with respect to this filing. However, should any fees be deemed necessary, such fees may be charged to Deposit Account No. **06-0029**.

Respectfully submitted,

FAEGRE & BENSON LLP

CUSTOMER NO.: /35657

Date: May 17, 2005

By:

Michael A. DeSanctis, Esq.

Atty. Reg. No.: 39,957 Telephone: 303.607.3633

DNVR1:60293846.01

PTO/SB/08a (05-03) Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

stitute for form 1449A/PTO Complete if Known **Application Number** 10/789,783 INFORMATION DISCLOSURE Filing Date February 27, 2004 STATEMENT BY APPLICANT First Named Inventor William S. Worely, Jr. Art Unit 2122 (use as many sheets as necessary) Examiner Name Not Yet Known Sheet of 1 73153-302255 Attorney Docket Number

			U.S. PATENT	DOCUMENTS	
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 4,467,409	08/21/1984	Potash et al.	
	2	US- 5,067,069	11/19/1991	Fite et al.	
	3	US- 5,764,861	06/09/1998	Priem et al.	
	4	US- 5,918,050	06/29/1999	Rosenthal et al.	
	5	US- 2002/0194389	12/19/2002	Worley, Jr et al.	
		US-			
·		US-			
		US-			

	FOREIGN PATENT DOCUMENTS						
	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,			
Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶	
	<u> </u>						
-	<u> </u>	_		-		<u> </u>	

	the state of the s		
Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known				
				Application Number	10/789,783	
INFORMATION DISCLOSURE				Filing Date	February 27, 2004	
STATEMENT BY APPLICANT		First Named Inventor	William S. Worely Jr.			
				Art Unit	2122	
(use as many sheets as necessary)		Examiner Name	Not yet known			
Sheet	2	of	2	Attorney Docket Number	73153-302255	

	· · · · · · · · · · · · · · · · · · ·	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
		AUTHOR UNKNOWN., "Itanium ® Processor Family Performance Tuning Guide." pages 1-49.	
		AUTHOR UNKNOWN., "TCPA PC Specific Implementation Specification." September 9, 2001.	
		CARROLL, A. et al., "Microsoft 'Palladium': A Business Overview Combining Microsoft Windows Features, Personal Computing Hardware, and Software Applications for Greater Security, Personal Privacy and System Integrity." August 2002.	
		HUANG, ANDREW., "Keeping Secrets In Hardware: <i>The Microsoft XBox™</i> Case Study." August 13-15, 2005.	
		LETTICE, JOHN., "Inside Microsoft's Secure OS Project Palladium." The Register., November 12, 2002.	
		WEINBERG, BILL., "Rediscovering UNIX Reliability in Linux ® - White Paper." MontaVista Software. 2001.	
		WITCHEL, E. et al., "Mondrian Memory Protection." MIT Laboratory for Computer Science."	
		·	

Examiner Signature	Date Considered	

citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.